

02/15/01  
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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**CERTIFICATE OF EXPRESS MAILING**

I hereby certify that this paper and the documents and/or fees referred to as attached therein are being deposited with the United States Postal Service on February 15, 2001 in an envelope as "Express Mail Post Office to Addressee" service under 37 CFR §1.10, Mailing Label Number EL753758065US, addressed to the Assistant Commissioner for Patents, Washington, DC 20231.

Attorney Docket No.: SUN1726

First Named Inventor: Hahn

09/15/01  
U.S. PTO  
09/15/01

M. Eisman  
Misha Eisman

**UTILITY PATENT APPLICATION TRANSMITTAL (37 CFR. § 1.53(b))**

Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

Sir: This is a request for filing a patent application under 37 CFR. § 1.53(b) in the name of inventors:  
**Stephen C. Hahn**

For: **METHOD FOR PROGRAMMATIC REPRESENTATION AND ENFORCEMENT OF  
RESOURCE CONTROLS**

Assigned to: Sun Microsystems, Inc.

**Application Elements:**

- ☒ **21** Pages of Specification, Claims and Abstract  
☒ **08** Sheets of informal Drawings  
☐ Pages Combined Declaration and Power of Attorney

**Accompanying Application Parts:**

- ☐ Do not publish this application. Nonpublication Request is attached.  
☐ Assignment and Assignment Recordation Cover Sheet (recording fee of \$40.00 enclosed)  
☐ 37 CFR 3.73(b) Statement by Assignee  
☐ Information Disclosure Statement with Form PTO-1449  
☐ Copies of IDS Citations  
☐ Preliminary Amendment  
☒ Return Receipt Postcard  
☐ Other:

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01 FC:1252 420.00 DA  
02 FC:1801 730.00 DA

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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

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In re application of: Stephen Hahn

Attorney Docket No.:

SUN1726/P5721NP

Application No.: 09/785,022

Examiner: SHAH, NILESH R

Filed: February 15, 2001

Group: 2127

Title: METHOD FOR PROGRAMMATIC  
REPRESENTATION AND ENFORCEMENT OF  
RESOURCE CONTROLS

Confirmation No.: 3012

**CERTIFICATE OF FACSIMILE TRANSMISSION**

I hereby certify that this correspondence is being transmitted  
by facsimile to fax number 703-872-9306 to the U.S. Patent and Trademark Office on  
January 10, 2005.

Signed: \_\_\_\_\_

Laura M. Dean

**REQUEST FOR CONTINUED EXAMINATION (RCE)  
(37 CFR §1.114)**

Mail Stop RCE  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

This is a Request for Continued Examination (RCE) of the above-identified application.

**1. Submission required under 37 C.F.R. §1.114:**

- a. ☐ Previously submitted
- i. ☐ Consider the amendment/reply under 37 C.F.R. §1.116 previously filed on \_\_\_\_\_.  
(Any unentered amendment referred to above will be entered.)
- ii. ☐ Consider the arguments in the Appeal Brief or Reply Brief previously filed on \_\_\_\_\_.
- iii. ☐ Other \_\_\_\_\_.
- b. ☒ Enclosed
- i. ☒ Amendment/Reply
- ii. ☐ Affidavit/Declaration
- iii. ☐ Information Disclosure Statement with Form PTO-1449  
☐ Copies of IDS Citations
- iv. ☐ Other \_\_\_\_\_.

☒ **PLEASE DEFEND THE FILING FEES AT THE TIME**

☐ The Commissioner is authorized to charge any fees beyond the amount enclosed which may be required, or to credit any overpayment, to Deposit Account No. 500388 (Order No. ).

General Authorization for Petition for Extension of Time (37 CFR §1.136)

☒ Applicants hereby make and generally authorize any Petitions for Extensions of Time as may be needed for any subsequent filings. The Commissioner is also authorized to charge any extension fees under 37 CFR §1.17 as may be needed to Deposit Account No. 500388 (Order No. SUN1P726).

☒ Please send correspondence to the following address:

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Telephone (510) 843-6200  
Fax (510) 843-6203



Date: February 15, 2001

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Russell N. Swerdon  
Registration No. 36,943

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